

Progress report on Project: “Development of Roadmap for future Standards and Guidance under 5300 Series”



**PROJECT LEAD AND PRESENTED BY:
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Outline



- Background
- Project Initiation
- Community of Practice
- Recent Developments
- The Project Plan

Introduction and Background



- The Working Group on IT Audit (WGITA)'s triennial work plans
- Initiation of various projects related to Public Sector IT Auditing
- The Project entitled “Development of Roadmap for future Standards and Guidance under 5300 Series” was finalised along with 5 other projects at the 25th INTOSAI WGITA meeting in Brazil in 2016

Background: Team members



- SAI Pakistan was nominated to lead the project as part of a team comprising:
 1. SAI Brazil
 2. SAI China
 3. SAI India
 4. SAI Japan
 5. SAI Korea
 6. SAI Poland
 7. SAI USA

Project Initiation



- A list of key areas was circulated amongst the team members and questions regarding the following were answered by all team members:
 - 1. Terms of Reference
 - 2. The broad areas to become part of the project
 - 3. Key Deliverables
 - 4. Research Methodology
 - 5. Time frame for the project
- After feedback and changes, the Draft PID was circulated amongst members for endorsement by 27th September and submitted to the WGITA Chair on 3rd October and approved on 23rd October

Community of Practice



- Upon finalisation of the Project Initiation Document, WGITA Chair advised to set up a Community of Practice to facilitate the sharing of resources
- With the help of the IR Wing of SAI India, this portal was set up on 27th December and respective team members were informed of their usernames and passwords

Recent Developments (1/2)



- The INTOSAI Framework on Professional Pronouncements – standards and guidelines framework was approved by INCOSAI in Abu Dhabi in December 2016
- The PID stated that the project plan would be consistent with INTOSAI framework and any future changes made in it. Therefore, in light of this new development, the title of the Project was modified and the Plan was made according to such changes.

Recent Developments (2/2)



- WGITA Chair also forwarded to the team members:
 1. Strategic Development Plan for the INTOSAI Framework of Professional Pronouncements
2017-19
 2. The Revised INTOSAI Framework of Professional Pronouncements
- The Strategic Development Plan is a live document which will interface with the projects, therefore our project would adjust itself to any changes that need to be made in the future

The Project Plan (1/4)



- Description: To produce a guideline document to facilitate stakeholders in developing standards/guidance for IT Audit currently placed under the 5300 series
- Scope: Project will keep its alignment with the SDP 2017-19 for International Framework for Professional Pronouncements (IFPP)
- Stage 1: Project Initiation and Approval

The Project Plan (2/4)



- Stage 2: A)- Data Accumulation Phase – ends 31st July 2017:
 - 1. Communication with FIPP to keep work product in alignment with broader framework
 - 2. Review of work done during development of previous standards and guidance
 - Review of previous project products relevant to the current project
 - Study of current trends in IT systems and IT auditing
 - Review of previous surveys done on the subject of IT auditing

The Project Plan (3/4)



- **B)- Data Compilation Phase – ends 31st December 2017:**
 - In this phase, the draft Roadmap document shall be prepared based on the data acquired earlier
 - It would list potential IT Audit areas (major and sub-areas based on logical correlation) identified for the development of future Standards/ Guidance or Handbooks
 - The areas shall be finalised through consensus amongst team members as well as input from the Chair and the relevant committees

The Project Plan (4/4)



- Stage 3: Feedback on First Draft Roadmap Document: ends 31st December 2018:
 - The Draft shall be circulated amongst WGITA member SAIs and other INTOSI Forums for feedback
- We hope for active participation from all team members and that this will be a useful work product for the future development of INTOSAI deliverables.

Thank You